

November 21, 2016

Chase Center on the Riverfront



What Every Investor Needs to Know

Smart Investing in Today's Environment

Join FINRA, Special Guest **U.S. Senator Chris Coons** and the Delaware Department of Justice, as we discuss current investing and regulatory issues.

What you'll learn:

- ▶ Basic investing principles.
- ▶ Where to turn for free, unbiased investing tools and information.
- ▶ How to avoid unnecessary risks and common investing pitfalls.
- ▶ How FINRA helps protect and educate investors.

Details:

- ▶ **Chase Center on the Riverfront**
815 Justison Street
Wilmington, DE 19801
- ▶ **Monday, November 21, 2016**
Buffet Dinner: 5:30 – 6:00 p.m.
Program: 6:00 – 8:00 p.m.
There is **no charge** for the program or dinner.

Register:

1. **Go to:**
www.finra.org/investorforum/wilmington
or
2. **Call: (866) 862-0110**

This is an educational program, and no sales materials or presentations will be permitted during the program or on the premises.

Why attend?

- ▶ **Knowledge is power:** We believe that understanding should precede investing—and that education is often the best form of investor protection.
- ▶ **Unbiased information:** We offer free, objective information and tools to help you evaluate investment products and professionals.
- ▶ **Learn from top officials:** Investor Forums bring the investing public together with Members of Congress, FINRA officials and state regulators to discuss a range of investing topics.
- ▶ **Question-and-answer session:** Speakers will also answer audience questions.

About FINRA

FINRA is the Financial Industry Regulatory Authority. We are an independent, non-governmental regulator for all securities firms doing business with the public in the United States. Every day, we work to protect investors and maintain market integrity. In our role, we regulate nearly 4,000 brokerage firms and over 641,000 brokers. We oversee an average of 42 billion market transactions each day—using technology powerful enough to detect potential abuses. Our independent regulation plays a critical role in America's financial system—all at no cost to taxpayers.

For more information, visit www.finra.org.



Learn more and sign up today at: www.finra.org/investorforum/wilmington